

# **Strategic Environment Assessment**

## **Screening Report**

**Prepared in relation to the adoption of the  
Rural Workers Dwellings Supplementary Planning Document  
of Craven District Council  
December 2021**

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## Table of Contents

<b>1. Background and SEA Purpose.....</b>	<b>3</b>
1.1 Purpose of the SEA Screening Report.....	3
1.2 Legislative Background.....	3
<b>2. Overview of the Rural Workers Dwellings SPD.....</b>	<b>3</b>
2.1 Relationship with the Local Plan.....	3
2.2 The content of the Rural Workers Dwellings SPD.....	4
<b>3. The Screening Process and Conclusions.....</b>	<b>4</b>
3.1 Strategic Environmental Assessment Screening.....	4
3.2 Determination of any significant effects.....	5
3.3 Screening outcome.....	6
3.4 Consultation with Statutory Bodies.....	6
<b>Appendix I: Assessment of Likely Significance of Effects on the Environment.....</b>	<b>7</b>
<b>Appendix II: Rural Workers Dwellings SPD and the SEA Directive.....</b>	<b>9</b>
<b>Appendix III: Responses from Statutory Bodies.....</b>	<b>12</b>
<b>Appendix IV: Acronyms.....</b>	<b>15</b>

Note for readers:

*The author as named has prepared this report for the use of Craven District Council. The report conclusions are based on the best available information, including information that is publicly available. This information is assumed to be accurate as published and no attempt has been made to verify these secondary data sources. This report was prepared in November 2021. It is subject to and limited by the information available during this time. This report has been prepared with all reasonable skill, care and diligence within the terms of the contract with the client. The author accepts no responsibility to third parties of any matters outside the scope of the report. Third parties to whom this report or any part thereof is made known rely upon the report at their own risk.*

## **1. SEA Purpose and Legislative Background**

### **1.1 Purpose of the SEA Screening Report**

1.1.1 This screening report has been prepared to determine whether the Rural Workers Dwellings Supplementary Planning Document (SPD) prepared by Craven District Council should be subject to a Strategic Environmental Assessment (SEA).

### **1.2 Legislative Background**

1.2.1 The basis for Strategic Environmental Assessment legislation is the European Directive 2001/42/EC (SEA Directive). This was transposed into English law by the Environmental Assessment of Plans and Programmes Regulations 2004 (SEA Regulations). Detailed guidance of these regulations can be obtained via in the Government publication 'A Practical Guide to the Strategic Environmental Assessment Directive' (ODPM, 2005).

1.2.2 The Planning Practice Guidance (PPG) discusses SEA requirements in relation to supplementary planning documents in paragraph 11-008. Here, the PPG states that: *'Supplementary planning documents do not require a sustainability appraisal but may in exceptional circumstances require a strategic environmental assessment if they are likely to have significant environmental effects that have not already have been assessed during the preparation of the relevant strategic policies'* and later in the same section: *"Before deciding whether significant environment effects are likely, the local planning authority will need to take into account the criteria specified in schedule 1 to the Environmental Assessment of Plans and Programmes Regulations 2004 and consult the consultation bodies."*

1.2.3 Under the requirements of the European Union Directive 2001/42/EC and Environmental Assessment of Plans and Programmes Regulations (2004), certain types of plans that set the framework for the consent of future development projects must be subject to an environmental assessment.

## **2. Overview of the Rural Workers Dwellings SPD**

### **2.1 Relationship with the Local Plan**

2.1.1 Under the Planning & Compulsory Purchase Act 2004, policy guidance can be provided in Supplementary Planning Documents (SPDs). In line with the National Planning Policy Framework (NPPF), this SPD provides further guidance on the delivery of rural workers dwellings in the Craven Local Plan area, and also provides further detail to help explain the objectives relating to the following policies of the Craven Local Plan (2012 – 2032), which was adopted in November 2019:

- Policy EC3: Rural Economy
- Policy SD1: Presumption in favour of sustainable development
- Policy SD2: Meeting the Challenge of Climate Change
- Policy SP4: Spatial Strategy and Housing Growth

- Policy ENV1: Countryside and Landscape
- Policy ENV2: Heritage
- Policy ENV3: Good Design

The SPD hence supports the local plan and is produced in accordance with the procedures introduced by the 2004 Act.

2.1.2 Unlike the local plan itself, the SPD is not examined by an inspector, but it is subject to a public consultation process before being formerly adopted by elected Council Members in a Council resolution. The SPD will be a material consideration in planning decisions.

## **2.2 The content of the Rural Workers Dwellings SPD**

2.2.1 The primary policy focus of the Rural Workers Dwellings SPD is Policy EC3: Rural Economy. Criterion (d) of Policy EC3 *“enables farm, forestry and other land based businesses to build the new and replacement buildings and infrastructure they need to function efficiently, including dwellings where they are fully justified on functional and financial grounds”*.

2.2.2 A rural worker’s dwelling is hence a new build dwelling, or one created by replacing an existing building, that is needed to house a rural worker associated with farm, forestry and other land-based businesses. The term ‘land-based businesses’ relates to farming and industries connected to the land and environment, including horticulture, food production, conservation, landscaping and equine uses. Within this SPD, farm, forestry and land-based businesses are collectively referred to as ‘a rural enterprise’.

## **3. The Screening Process and Conclusions**

### **3.1 SEA Screening**

3.1.1 Screening is the process for determining whether or not an SEA is required. For this process, it is necessary to determine if a plan will have significant environmental effects using the criteria set out in Annex II of the SEA Directive and Schedule I of the SEA Regulations. A full determination cannot be made until two of the three statutory consultation bodies have been consulted; in this case these bodies are Natural England and Historic England.

3.1.2 The SEA Directive requires plans and programmes to be in general conformity with the strategic policies of the adopted development plan for the relevant area. Within 28 days of its determination, the plan makers must publish a statement, setting out its decision. If they determine that an SEA is not required, the statement must include the reasons for this. The table of Appendix I uses questions based on content of the SEA Directive to establish whether there is a requirement for SEA for the Rural Workers Dwellings SPD. The table of Appendix II analyses the Rural Workers Dwellings SPD using criteria set out in Annex II of the SEA Directive and Schedule I of the Regulations.

### 3.2 Determination of significant effects

3.2.1 Paragraph 9 of the SEA Directive that: *“This Directive is of a procedural nature, and its requirements should either be integrated into existing procedures in Member States or incorporated in specifically established procedures. With a view to avoiding duplication of the assessment, Member States should take account, where appropriate, of the fact that assessments will be carried out at different levels of a hierarchy of plans and programmes.”* The policies of the Craven Local Plan have been subject to a full Sustainability Appraisal (SA).

3.2.2 Therefore it is considered that the potential significant effects of the Rural Workers Dwellings SPD, either individually or in combination with other plans and projects, have already been assessed in the SA of the local plan. A summary analysis of the potential effects of the SPD based on the key subject areas is shown in the following paragraphs to ensure that the SPD does not give rise to any new significant environmental effects. This analysis relates to that contained within the SA of the local plan.

3.2.3 Population and human health: The provision of rural workers dwellings is an important social objective, in that the provision of rural workers dwellings for local needs in Craven is a significant aspect of social cohesion within the population of the local plan area. Provision of these rural dwellings is required for those people in Craven who work locally in agriculture (often with adjacent farm holdings on site), horticulture, equestrian activities or other rural based employment.

3.2.4 Biodiversity, flora and fauna: Policy ENV4 of the local plan focuses on biodiversity, and states that growth in housing, business and other land uses on allocated and non-allocated sites will be accompanied by improvements in biodiversity. Specifically, development will then make a positive contribution towards achieving a net gain in biodiversity. There should hence be a positive impact in terms of the flora and fauna in the local plan area, resulting from the development of rural workers dwellings in the plan area.

3.2.5 Climatic factors: As rural workers dwellings are not, by their nature, located within existing towns with a more substantial level of public transport services, there are fewer opportunities to utilise public transport with hence a greater reliance on private vehicle use. However, all proposed housing, including rural workers dwellings, in the Craven local plan area must conform to more sustainable construction and design practices promoted in Policies ENV3 criterion (t) and ENV7. The number of rural workers dwellings as part of the total housing provision over the lifetime of the local plan is relatively low, and indeed, the provision of such dwellings is viewed as important for social and economic cohesion in Craven.

3.2.6 Cultural heritage: There is not anticipated to be any significant effects on cultural heritage due to the need for conformity to the local plan’s Policy ENV2 on heritage and Policy ENV3 on good design. Such policies set out and advise on architectural style, construction and materials requirements for development, and also principles for design. These policies will help to ensure new developments of rural workers dwellings are not discordant with the existing setting.

3.2.7 Soil, water and air: Similarly to all development proposals, new developments of rural workers dwelling units must conform with Policy ENV6: Flood Risk, Policy ENV7: Land and Air Quality, and Policy ENV8: Water Resources, Water Quality and Groundwater. There is not

anticipated to be any significant effects on soil, water and air as proposed developments will need to meet with the criteria of such policies in order to gain planning permission.

3.2.8 Landscape: As noted, all development proposals in the Craven local plan area must conform to more sustainable construction and design practices promoted in Policies ENV3 and ENV7. Hence, there should be significant mitigation of landscape impacts in place when planning for new development of rural workers dwellings in the countryside surroundings of the local plan area.

3.2.9 Material assets: The material assets topic considers social, physical and environmental infrastructure, and hence this paragraph should be read alongside the previous subjects in this section. Policies in the local plan are likely to help ensure that arrangements are put in place to upgrade existing off-site infrastructure in line with new developments coming forward, where appropriate. Critical existing infrastructure and services will be likely to have the capacity to deal with increased demands for their services, in part supported by the implementation of the Community Infrastructure Levy (CIL), if adopted by the Council.

### **3.3 Screening outcome**

3.3.1 Proposals in the SPD, including requirements for development, refer to policies set out in the district's local plan which have been through sustainability appraisal. An Appropriate Assessment of the local plan was undertaken and it concluded that the plan's contents would not have any adverse impacts on the integrity of any designated European site or SEA objective. Therefore it was not necessary to move to the Stage 2 Appropriate Assessment.

3.3.2 The SPD provides further guidance to relevant policies in the Craven Local Plan, including policy EC3, therefore it is closely related to local plan content. The SPD will not have any adverse effects on an internationally designated site such as a Special Protection Area (SPA) or Special Area of Conservation (SAC), above and beyond any significant effects that the local plan is likely to have, either individually or in combination with other plans and projects. Therefore the SPD will not trigger the need for a SEA in this regard. Further analysis and more information on these designated European sites relevant to Craven are available in the HRA Screening Report for the Rural Workers Dwellings SPD. This SPD will not have any adverse social impacts, and indeed as previously explained, rural workers dwellings should have overall positive impacts for the population of Craven.

3.3.3 This screening report has assessed the potential effects of the Craven District Council Rural Workers Dwellings SPD, with a view to determining whether an environmental assessment is required under the SEA Directive. In accordance with topics cited in Annex 1(f) of the SEA Directive, significant effects on the environment are not expected to occur as a result of the SPD. It is recommended that the Rural Workers Dwellings SPD should be screened out of the SEA process.

### **3.4 Consultation with Strategic Bodies**

3.4.1 This SEA screening report is subject to consultation with the statutory consultees of Historic England and Natural England. Responses from the statutory bodies are presented in Appendix III.

## Appendix I: Establishing whether there is a need for SEA

Stage	Discussion	Answer
1. Is the plan or programme subject to preparation and/or adoption by a national, regional or local authority or prepared by an authority for adoption through a legislative procedure by Parliament or Government? (Article 2(a))	The Rural Workers Dwellings SPD has been prepared by and will be adopted by Craven District Council to give detail and guidance on local plan contents which are relevant to this SPD, predominately Policy EC3 on rural workers dwellings provision in the local plan area.	Yes
2. Is the plan or programme required by legislative, regulatory or administrative provisions? (Article 2(a))	Paragraph 6.3 of the adopted Craven Local Plan refers to the intended production of the Rural Workers Dwellings SPD. When the Rural Workers Dwellings SPD is adopted, it will be a material consideration but it will not be part of the adopted local plan.	Yes
3. Is the plan or programme prepared for agriculture, forestry, fisheries, energy industry, transport, waste management, water management, telecommunications, tourism, town and country planning or land use, and does it set a framework for future development consent of projects in Annexes I and II to the EIA Directives? (Article 3.2(a))	It is a SPD prepared for town and country planning and land use, and provides detail to the local plan policy framework for future consent of projects listed in Schedule II of the EIA Directive.	Yes
4. Will the plan or programme, in view of its likely effect on sites, require an assessment for future development under Article 6 or 7 of the Habitats Directive? (Article 3.2(b))	The Rural Workers Dwellings SPD is not anticipated to have an adverse effect on any designated European sites relevant to the Craven local plan area, in terms of their ecological integrity.	No
5. Does the plan or programme determine the use of small areas at local level, or is it a minor modification of a plan or programme subject to Article 3.2? (Article 3.3)	The SPD will be a material consideration in the consideration of planning applications for new developments. It provides detailed guidance to adopted local plan policy.	Yes
6. Is it likely to have a significant effect on the environment? (Article 3.5)	The purpose of the SPD is to provide guidance to assist in the interpretation of adopted policies in the local plan. The policies to which the SPD relates were subject to SEA (incorporated within the SA) through the local plan preparation process. Therefore, the SPD will not itself	No



	<p>have any significant effects on the environment, and may assist in addressing potential negative effects identified in the SEA of the relevant adopted policies.</p> <p>See Section 3.2 and appendix II detailed assessment.</p>	
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## Appendix II: Rural Workers Dwellings SPD and the SEA Directive

Criteria (from Annex II of SEA Directive and Schedule I of Regulations)	Response
The characteristics of plans and programmes	
(a) The degree to which the plan or programme sets a framework for projects and other activities, either with regard to the location, nature, size and operating conditions or by allocating resources	The Rural Workers Dwellings SPD sets a framework for projects by providing detail and guidance on adopted policies of the Craven Local Plan, particularly Policy EC3. The SPD forms a material consideration in planning application decisions.
(b) The degree to which the plan or programme influences other plans and programmes including those in a hierarchy	The Rural Workers Dwellings SPD does not create new policies, but instead it provides further guidance to relevant adopted Craven Local Plan policies, which have been subject to SEA (incorporated within the SA). It sits below 'higher tier' documents and does not set new policies.
(c) The relevance of the plan or programme for the integration of environmental considerations in particular with a view to promoting sustainable development	The SPD provides guidance on the interpretation of adopted local policy along with national guidance, all of which promote sustainable development. The SPD does not introduce new policy.
(d) Environmental problems relevant to the plan or programme	As explained in the local plan, there are a number of environmental issues to be considered in the Craven Local Plan area including: potential impacts of development on natural and historic landscapes, high private vehicle dependency, climate change impacts including fluvial flooding risk, and potential loss of biodiversity. Carbon emissions associated with rural living have been identified, but these rurally based homes are relatively low in number in comparison with the overall housing stock. The SPD seeks where possible to achieve environmental improvements via good quality, sustainable design.
(e) The relevance of the plan or programme for the implementation of community legislation on the environment (for example, plans and programmes linked to waste management or water protection)	This is not directly applicable in the case of the Rural Workers Dwellings SPD, and there are other policies in the Craven Local Plan which address water protection (particularly Policy ENV8). North Yorkshire County Council is the relevant authority who addresses waste management issues for this region.
Characteristics of the effects and of the area likely to be affected	

(a) The probability, duration, frequency and reversibility of the effects	The Rural Workers Dwellings SPD is not expected to give rise to any significant environmental effects.
(b) The cumulative nature of the effects	The Rural Workers Dwellings SPD is not considered to have any significant cumulative effects. As the document provides further guidance to adopted local plan policies, but does not set policies itself, it cannot contribute to cumulative impacts in combination with the Craven Local Plan.
(c) The transboundary nature of the effects	The Rural Workers Dwellings SPD is not expected to give rise to any significant transboundary environmental effects. Any potential significant transboundary environmental effects have already been assessed as part of the local plan's sustainability appraisal, the Habitat Regulations Assessment and the plan's examination process.
(d) The risks to human health or the environment (for example, due to accidents)	There are no anticipated effects of the Rural Workers Dwellings SPD on human health or the environment due to accidents or other related subjects.
(e) The magnitude and spatial extent of the effects (geographical area and size of the population likely to be affected)	The SPD will be applied to all relevant planning applications in the plan area.
(f) The value and vulnerability of the area likely to be affected due to: <ul style="list-style-type: none"> <li>- Special nature characteristics or cultural heritage;</li> <li>- Exceeded environmental quality standards or limit values</li> <li>- Intensive land-use</li> </ul>	The Rural Workers Dwellings SPD is not anticipated to adversely affect any special natural characteristics or cultural heritage in the Craven local plan area or beyond its borders. The Rural Workers Dwellings SPD is also not expected to lead to the exceedance of environmental standards or promote intensive land use. The SPD covers areas protected for their special natural characteristics and cultural heritage including the Forest of Bowland AONB, SACs, SPAs and Conservation Areas. However, it provides further guidance on the implementation of existing local plan policies, which have been subject to SEA, to provide further positive effects. The SPD does not introduce new policy nor does it propose any new development over and above that assessed within the Craven Local Plan.
(g) The effects on areas or landscapes which have a recognised national, community or international protection status.	As has been outlined in previous paragraphs of this document, the Rural Workers Dwellings SPD is not expected to have any adverse effect on

	<p>areas with national, community or international protection. The SPD covers areas protected for their special natural characteristics and cultural heritage including the Forest of Bowland AONB, SACs, SPAs and Conservation Areas. However, it provides further guidance on the implementation of existing local plan policies, which have been subject to SEA, to provide further positive effects. The SPD does not introduce new policy nor does it propose any new development over and above that assessed within the Craven Local Plan.</p>
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### **Appendix III: Responses from Statutory Bodies**

The following responses from Historic England and Natural England were received on 29 and 30 November 2021 respectively. The text extracts related to the SEA Screening Report for this SPD are shown below.

#### Historic England

*"You will see that in both cases we would endorse the authority's conclusions that it is not necessary to undertake a SEA for these particular SPD as they are unlikely to result in any significant environmental effects and relate to policies in the Local Plan that have already been subject to a Sustainability Appraisal/SEA.*

AND

*"In terms of our area of interest, given the nature of the SPD, we would concur with your assessment that the document is unlikely to result in any significant environmental effects and will simply provide additional guidance on existing Policies contained within a Adopted Development Plan Document which has already been subject to a Sustainability Appraisal/SEA. As a result, we would endorse the Authority's conclusions that it is not necessary to undertake a Strategic Environmental Assessment of this particular SPD.*

*The views of the other three statutory consultation bodies\* should be taken into account before the overall decision on the need for an SEA is made."*

\*Note: there are three statutory consultation bodies: Historic England, Natural England and the Environment Agency. The Environment Agency have previously stated to the Council that they do not need to be consulted on these documents.

#### Natural England

*"Based on the information provided, we do not have any concerns about the SEA Screening Reports for the Rural Workers Dwellings SPD or Good Design SPD at this stage. It is our advice, on the basis of the material supplied with the consultation, that, in so far as our strategic environmental interests are concerned (including but not limited to statutory designated sites, landscapes and protected species, geology and soils) that there are unlikely to be significant environmental effects from the proposed plans.*

*Notwithstanding this advice, Natural England does not routinely maintain locally specific data on all potential environmental assets. As a result the responsible authority should raise environmental issues that we have not identified on local or national biodiversity action plan species and/or habitats, local wildlife sites or local landscape character, with its own ecological and/or landscape advisers, local record centre, recording society or wildlife body on the local landscape and biodiversity receptors that may be affected by this plan, before determining whether an SEA is necessary.*

*Please note that Natural England reserves the right to provide further comments on the environmental assessment of the plan beyond this SEA screening stage, should the responsible*

*authority seek our views on the scoping or environmental report stages. This includes any third party appeal against any screening decision you may make.”*

## Appendix IV: Acronyms

<b>CDC</b>	Craven District Council
<b>CIL</b>	Community Infrastructure Levy
<b>EIA</b>	Environmental Impact Assessment
<b>NPPF</b>	National Planning Policy Framework
<b>PP</b>	Policy or Programme
<b>PPG</b>	Planning Practice Guidance
<b>SA</b>	Sustainability Appraisal
<b>SAC</b>	Special Area of Conservation
<b>SEA</b>	Strategic Environmental Assessment
<b>SHMA</b>	Strategic Housing Market Assessment
<b>SPA</b>	Special Protection Area
<b>SPD</b>	Supplementary Planning Document